

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant(s): Wei-En Chien, et al.

Patent No.: 6,958,496

Issue Date: 10/25/2005

Serial No.: 10/791,765

Filing Date: 03/04/2004

Examiner: OWENS DOUGLAS W

Art Unit: 2811

Docket No.: KYCP0089USA

10

Title: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING ENHANCED
BRIGHTNESS

To: Commissioner for Patents

15

P.O. BOX 1450

Alexandria, VA 22313-1450

Subject: Status Inquiry of the Request to Correct Inventorship under 37 CFR
1.324(b)

20

Dear Sir,

25

The undersigned agent submitted a request to correct inventorship under 37 CFR
1.324(b) on 07/13/2007 to add Pai-Hsiang Wang as the fourth inventor of the above
identified utility patent (Attachment 1). The processing fee \$130.00 for correcting
inventorship in a patent set forth in 37 CFR 1.20(b) was deducted from the deposit
account 50-3105 on 07/13/2007 (Attachment 2) and Pai-Hsiang Wang was recorded
as one of the assignor on 07/06/2007 (Attachment 3). However, Pai-Hsiang Wang
30 has not been added as one of the inventors of the above identified patent so far.

The undersigned agent hereby requests the USPTO to add Pai-Hsiang Wang as

the fourth inventor of the above identified patent and issue the Certificate of Correction accordingly as soon as possible. Your prompt reply will be greatly appreciated.

5

Respectfully submitted,

Winston Hsu

Date: 10/09/2008

10 Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

15 (Note: Note: Please leave a message in my voice mail if you need to talk to me. The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan)

Attachment 1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Wei-En Chien et al.
Appl. No.: 10/791,765 Filing Date: 03/04/2004
Patent No.: US 6,958,496 B2 Issue Date: 10/25/2005
Examiner: OWENS, DOUGLAS W Art Unit: 2811
Docket No.: KYCP0089USA

10 Title: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING
ENHANCED BRIGHTNESS

To: Commissioner for Patents
15 P.O. BOX 1450
Alexandria, VA 22313-1450

Subject: Request to Correct Inventorship under 37 CFR
1.324(b)

20 Dear Sir,

I hereby request that Pai-Hsiang Wang should be added as
one of the inventors of the above-identified patent under 37
25 CFR 1.324(b). The following documents are attached with this
request:

- (1) A statement from Pai-Hsiang Wang as the inventor to
be added;
- 30 (2) A statement from the current named inventors agree
to the change of inventorship; and
- (3) A written consent from the assignee.

The processing fee \$130.00 required by 37 CFR 1.20(b) is submitted herewith. Please add Pai-Hsiang Wang as one of the inventors and issue the Certificate of Correction as soon as possible. Your quick response will be appreciated.

Respectfully submitted,

Winston Hsu

Date: 07/13/2007

10 Winston Hsu, Patent Agent No. 41,526
P.O. BOX 506, Merrifield, VA 22116, U.S.A.
Voice Mail: 302-729-1562
Facsimile: 806-498-6673
e-mail: winstonhsu@naipo.com

15 Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Wei-En Chien et al.
Appl. No.: 10/791,765 Filing Date: 03/04/2004
Patent No.: US 6,958,496 B2 Issue Date: 10/25/2005
Examiner: OWENS, DOUGLAS W Art Unit: 2811
Docket No.: KYCP0089USA
10
Title: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING
ENHANCED BRIGHTNESS
To: Commissioner for Patents
15 P.O. Box 1450
Alexandria VA 22313-1450
Subject: Correction of Inventorship
- Statement from the Added Inventor
20

Dear Sir:

I hereby state that I am one of the actual inventors of
the above-identified patent. Owing to a clerical error, I was
25 inadvertently omitted from the application papers. The
inventorship error occurred without any deceptive
intention on my part. Acceptance of adding me as one of the
actual inventors is amicably requested.

30 Sincerely,

Pai Hsiang, Wang

Date: 2007/05/29

Pai-Hsiang Wang

35 Address: 5 Li-hsin 5th Rd., Science-based Industrial Park,
Hsinchu 300, Taiwan, R.O.C.
Citizen of Taiwan, R.O.C.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Wei-En Chien et al.
Appl. No.: 10/791,765 Filing Date: 03/04/2004
Patent No.: US 6,958,496 B2 Issue Date: 10/25/2005
Examiner: OWENS, DOUGLAS W Art Unit: 2811
Docket No.: KYCP0089USA

10

Title: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING
ENHANCED BRIGHTNESS

15 To: Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Subject: Correction of Inventorship
- Statement from the current named inventors agree
20 to the change of inventorship

Dear Sir:

We hereby state that we are the current named inventors
25 of the above-identified patent. Pai-Hsiang Wang and we were
commonly responsible for developing the invention described
and claimed in the above-identified patent. The agent missed
to list Pai-Hsiang Wang as one of the actual inventors while
preparing the original Declaration and Assignment document.
30 We all have not noticed this error until the agent informed
us that Pai-Hsiang Wang was missed in the letters patent. We
hereby declare that the error that Pai-Hsiang Wang was missed
as one of the actual inventors was occurred without any deceptive
intention and we all agree to add Pai-Hsiang Wang as one of

the actual inventors in the above-identified patent.

Sincerely,

5

Wei-En Chien

Date: 2007.5.28

Wei-En Chien

Address: No. 105, Lane 111, Shuan-Hsin St., Din-Liao Li, Chia-I
City, Taiwan, R.O.C.

10 Citizen of Taiwan, R.O.C.

Chih-Sung Chang

Date: 5/24 2007

Chih-Sung Chang

15 Address: 5 Li-hsin 5th Rd., Science-based Industrial Park,
Hsinchu 300, Taiwan, R.O.C.
Citizen of Taiwan, R.O.C.

20

Tzer-Perng Chen

Date: 2007.6.1

Tzer-Perng Chen

Address: 5 Li-hsin 5th Rd., Science-based Industrial Park,
Hsinchu 300, Taiwan, R.O.C.
Citizen of Taiwan, R.O.C.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Wei-En Chien et al.
Appl. No.: 10/791,765 Filing Date: 03/04/2004
Patent No.: US 6,958,496 B2 Issue Date: 10/25/2005
Examiner: OWENS, DOUGLAS W Art Unit: 2811
Docket No.: KYCP0089USA

10

Title: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING
ENHANCED BRIGHTNESS

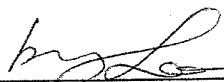
15 To: Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Subject: Correction of Inventorship
- Written Consent of Assignee

20

With the authority to act on behalf of the assignee of the
above-identified patent application, namely EPISTAR
CORPORATION, located in the address: 5 Li-hsin 5th Rd.,
Science-based Industrial Park, Hsinchu 300, Taiwan, R.O.C.,
25 I agree that Pai-Hsiang Wang being added as the actual inventor
of the above-identified patent.

For and on behalf of EPISTAR CORPORATION

30 Signature: 

Printed Name: Biing-Jye Lee

Title: President

Date: June 6, 2007

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:



Practitioners associated with the Customer Number:

27765

OR



Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:



The address associated with Customer Number:

27765

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone			Email

Assignee Name and Address:

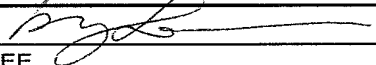
EPISTAR CORPORATION

5 Li-hsin 5th Rd., Science-based Industrial Park, Hsinchu, Taiwan, R.O.C.

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	June 6, 2007
Name	Biing-Jye LEE	Telephone	+886-3-5783078
Title	President		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Wei-En Chien, Chih-Sung Chang, Tzer-Perng Chen, Pai-Hsiang Wang

Application No./Patent No.: US 6,958,496 B2 Filed/Issue Date: 10/25/2005

Entitled: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING ENHANCED BRIGHTNESS

EPISTAR CORPORATION, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Wei-En Chien, Chih-Sung Chang, Tzer-Perng Chen To: UNITED EPITAXY COMPANY, LTD.
The document was recorded in the United States Patent and Trademark Office at
Reel 015048, Frame 0707, or for which a copy thereof is attached.
2. From: UNITED EPITAXY COMPANY, LTD. To: EPISTAR CORPORATION
The document was recorded in the United States Patent and Trademark Office at
Reel 017746, Frame 0292, or for which a copy thereof is attached.
3. From: Wei-En Chien, Chih-Sung Chang, Tzer-Perng Chen, Pai-Hsiang Wang To: EPISTAR CORPORATION
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Bling-Jye LEE
Signature

Bling-Jye LEE

Printed or Typed Name

President

Title

June 6, 2007

Date

+886-3-5783078

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT

Docket No KYCP0089USA

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration.

ASSIGNOR(S) (Inventors):

Name: Wei-En Chien Nationality: R.O.C.

Name: Chih-Sung Chang Nationality: R.O.C.

Name: Tzer-Perng Chen Nationality: R.O.C.

Name: Pai-Hsiang Wang Nationality: R.O.C.

Hereby sells, assigns and transfers to

ASSIGNEE(S):

Name: EPISTAR CORPORATION

Address: 5 Li-hsin 5th Rd., Science-based Industrial Park, Hsinchu 300, Taiwan, R.O.C.

and the successors and assignees of the ASSIGNEE the entire right, title, and interest in and to any and all improvements which are disclosed in the invention entitled:

"LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING ENHANCED BRIGHTNESS"

Which is found in :

- (a) _____ U.S. patent application executed on even date
(b) _____ U.S. patent application executed on _____
(c) _____ U.S. application serial no. _____
(d) _____ + patent no. US 6,958,496 B2 issued 10/25/2005

PATENT

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuations, continuation-in-part, divisions, renewals, substitutes, or extensions thereof, and as to Letters Patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the proposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this JUL 05 2007 (Date of signing). (請發明人務必簽署上列日期。)

(Type name of inventor)

Wei-En Chien

Chih-Sung Chang

Tzer-Perng Chen

Pai-Hsiang Wang

Signature of INVENTOR

Wei-En Chien

Chih-Sung Chang

Tzer-Perng Chen

Pai Hsiang, Wang

Attachment 2

07/12	160	11307213	HHIP0090USA	1501	\$1,400.00	\$28,507.00
07/12	161	11307213	HHIP0090USA	1504	\$300.00	\$28,207.00
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07/13	6328	11777288	MTKP0464USA	8021	\$40.00	\$48,557.00
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07/13	213	10906544	AUOP0052USA	1504	\$300.00	\$46,462.00
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07/17	5968	11778635	NAUP0916USA	1011	\$300.00	\$42,322.00
07/17	5969	11778635	NAUP0916USA	1111	\$500.00	\$41,822.00
07/17	5970	11778635	NAUP0916USA	1311	\$200.00	\$41,622.00
07/17	5971	11778635	NAUP0916USA	1202	\$250.00	\$41,372.00
07/17	5972	11778635	NAUP0916USA	1201	\$400.00	\$40,972.00
07/17	6025	11778635	NAUP0916USA	8021	\$40.00	\$40,932.00
07/17	6034	11778628	NAUP0900USA	8021	\$40.00	\$40,892.00
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07/17	6242	11626854	MSTP0061USA	8007	\$40.00	\$37,722.00

Attachment 3



United States Patent and Trademark Office

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alerts | News | Help



Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 3

Patent #: 6958496 Issue Dt: 10/25/2005 Application #: 10791765 Filing Dt: 03/04/2004
Publication #: 20050082555 Pub Dt: 04/21/2005
Inventors: Wei-En Chien, Chih-Sung Chang, Chen Tzer-Perng
Title: LIGHT-EMITTING SEMICONDUCTOR DEVICE HAVING ENHANCED BRIGHTNESS

Assignment: 1

Reel/Frame: 015048/0707 Recorded: 03/04/2004 Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: CHIEN, WE-EN

Exec Dt: 11/28/2003

CHANG, CHIH-SUNG

Exec Dt: 11/28/2003

CHEN, TZER-PERNG

Exec Dt: 11/28/2003

Assignee: UNITED EPITAXY COMPANY, LTD.

9F, NO. 10, LI-HSIN RD.
SCIENCE-BASED INDUSTRIAL PARK
HSINCHU, TAIWAN R.O.C.

Correspondent: TROXELL LAW OFFICE PLLC

BRUCE H. TROXELL
5205 LEESBURG PIKE
SUITE 1404
FALLS CHURCH, VA 222041

Assignment: 2

Reel/Frame: 017746/0292 Recorded: 04/05/2006 Pages: 5

Conveyance: MERGER (SEE DOCUMENT FOR DETAILS).

Assignor: UNITED EPITAXY COMPANY, LTD.

Exec Dt: 11/25/2005

Assignee: EPISTAR CORPORATION

NO. 5, LI-HSIN 5TH ROAD
SCIENCE-BASED INDUSTRIAL PARK
HSINCHU, TAIWAN R.O.C.

Correspondent: TROXELL LAW OFFICE PLLC

5205 LEESBURG PIKE, SUITE 1404
FALLS CHURCH, VIRGINIA 22041

Assignment: 3

Reel/Frame: 019520/0138 Recorded: 07/06/2007 Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: CHIEN, WEI-EN

Exec Dt: 07/05/2007

CHANG, CHIH-SUNG

Exec Dt: 07/05/2007

CHEN, TZER-PERNG

Exec Dt: 07/05/2007

WANG, PAI-HSIANG

Exec Dt: 07/05/2007

Assignee: EPISTAR CORPORATION

5 LI-HSIN 5TH RD., SCIENCE-BASED INDUSTRIAL PARK
HSINCHU, TAIWAN

Correspondent: WINSTON HSU

P.O.BOX 506

MERRIFIELD, VA 22116

Search Results as of: 10/01/2008 05:40 AM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.0.1
Web interface last modified: April 20, 2007 v.2.0.1

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